

Annexure-I

FORM NO.MGT 9 EXTRACT OF ANNUAL RETURN

ORIENT STEEL & INDUSTRIES LTD.

As on financial year ended on 31.03.2019

**Pursuant to Section 92 (3) of the Companies Act, 2013 and
Rule 12(1) of the Company (Management & Administration) Rules, 2014**

I. REGISTRATION & OTHER DETAILS:

1.	CIN	L27109WB1956PLC023119
2.	Registration Date	08/06/1956
3.	Name of the Company	ORIENT STEEL & INDUSTRIES LTD.
4.	Category/ Sub-category of the Company	COMPANY LIMITED BY SHARES/ INDIAN NON-GOVERNMENT COMPANY
5.	Address of the Registered office & contact details	2. BRABOURNE ROAD, 5TH FLOOR, KOLKATA-700001, WEST BENGAL, INDIA PHONE NO.: (033) 4007-0944
6.	Whether listed company	YES
7.	Name, Address & Contact Details of the Registrar & Transfer Agent, if any.	ABS CONSULTANTS PRIVATE LIMITED ROOM-99, 6 TH FLOOR, STEPHEN HOUSE, 4 BBD BAG(EAST), KOLKATA-700001 (WB)

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10% or more of the total turnover of the company shall be stated)

Sl. No.	Name and Description of main products/ services	NIC Code of the Product/ service	% to total turnover of the company
1	Manufacture of hot-rolled and cold-rolled products of steel	24105	97.39

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES-

S. No	Name and Address of the Company	CIN/ GLN	Holding/ Subsidiary/ Associate	% of Shares held	Applicable Section
1	NIL	NIL	NIL	NIL	NIL

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 01-April-2018]				No. of Shares held at the end of the year [As on 31-March-2019]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
(a) Individual/ HUF	0	1037680	1037680	35.26	0	1037680	1037680	35.26	NIL

(b) Central Govt	-	-	-	-	-	-	-	-	-
(c) State Govt(s)	-	-	-	-	-	-	-	-	-
(d) Bodies Corp.	-	820890	820890	27.90	-	820890	820890	27.90	NIL
(e) Banks/ FI	-	-	-	-	-	-	-	-	-
(f) Any other	-	-	-	-	-	-	-	-	-
Sub-Total (A) (1):-	0	1858570	1858570	63.16	0	1858570	1858570	63.16	NIL
(2) Foreign	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
(a)NRIs-Individuals	-	-	-	-	-	-	-	-	-
(b)Other -Individuals	-	-	-	-	-	-	-	-	-
(c) Bodies Corp.	-	-	-	-	-	-	-	-	-
(e) Banks/ FI	-	-	-	-	-	-	-	-	-
(f) Any other	-	-	-	-	-	-	-	-	-
Sub-total (A)(2) :-	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Total Shareholding of Promoter (A) = (A) (1) + (A) (2)	0	1858570	1858570	63.16	0	1858570	1858570	63.16	NIL
B. Public Shareholding									
1. Institutions	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks/ FI	-	-	-	-	-	-	-	-	-
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-Total (B) (1):-	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2. Non-Institutions	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
a) Bodies Corp.	-	-	-	-	-	-	-	-	-
i) Indian	250	1057262	1057512	35.94	250	1057262	1057512	35.94	NIL
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals	-	-	-	-	-	-	-	-	-
i) Individual shareholders holding nominal share capital up to Rs. 1 lakh	6288	20430	26718	0.90	6288	20430	26718	0.90	NIL

ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
c) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-Total (B) (2):-	6538	1077692	1084230	36.84	6538	1077692	1084230	36.84	NIL
Total Public Shareholding (B) = (B) (1) + (B) (2)	6538	1077692	1084230	36.85	6538	1077692	1084230	36.85	NIL
C. Shares held by Custodian for GDRs & ADRs	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Grand Total (A+B+C)	6538	2936262	2942800	100	6538	2936262	2942800	100	NIL

ii) Shareholding of Promoter-

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year [As on 01-April-2018]			Shareholding at the end of the year [As on 31-March-2019]			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	
AS PER SEPARATE SHEET AS ANNEXURE-I(A) ATTACHED								

iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.	Particulars	Shareholding at the beginning of the year [As on 01-April-2018]		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year				
	Date wise Increase/ Decrease in Promoters Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc.):	THERE IS NO CHANGE IN PROMOTERS' SHAREHOLDING BETWEEN 01.04.2018 TO 31.03.2019			
	At the end of the year				

**iv) Shareholding Pattern of top ten Shareholders:
(Other than Directors, Promoters and Holders of GDRs and ADRs):**

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year [As on 01-April-2018]		Cumulative shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	AS PER SEPARATE SHEET AS ANNEXURE-I(B) ATTACHED			
	Date wise Increase/ Decrease in Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc.):				
	At the end of the year				

v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year [As on 01-April-2018]		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	NAWAL KISHORE RAJGARHIA				
	At the beginning of the year	320150	10.88		
	Date wise Increase/ Decrease in Promoters Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc.):	NIL	NIL	NIL	NIL
	At the end of the year	320150	10.88	320150	10.88

Sl. No.	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year [As on 01-April-2018]		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
2.	NILESH RAJGARHIA				
	At the beginning of the year	222900	7.57	-	-
	Date wise Increase/ Decrease in Promoters Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc.):	NIL	NIL	NIL	NIL
	At the end of the year	222900	7.57	222900	7.57

Sl. No.	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year [As on 01-April-2018]		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
3.	SHUCHI RAJGARHIA				
	At the beginning of the year	163000	5.54	-	-
	Date wise Increase/ Decrease in Promoters Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc.):	NIL	NIL	NIL	NIL
	At the end of the year	163000	5.54	163000	5.54

V) INDEBTEDNESS - Indebtedness of the Company including interest outstanding/ accrued but not due for payment.

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	13,50,04,933.00	28,99,79,394.00	NIL	42,49,84,327.00
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	13,50,04,933.00	28,99,79,394.00	NIL	42,49,84,327.00
Change in Indebtedness during the financial year				
* Addition	NIL	11,41,20,770.00	NIL	11,41,20,770.00
* Reduction	11,38,46,037.00	2.00	NIL	11,38,46,039.00
Net Change	(11,38,46,037.00)	11,41,20,768.00	NIL	2,74,731.00
Indebtedness at the end of the financial year				
i) Principal Amount	2,11,58,896.00	40,41,00,162.00	NIL	42,52,59,058.00
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	2,11,58,896.00	40,41,00,162.00	NIL	42,52,59,058.00

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-
A. Remuneration to Managing Director, Whole-time Directors and/ or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/ WTD/ Manager		Total Amount
1	Name of the Directors	Nawal Kishore Rajgarhia	Nilesh Rajgarhia	
	Gross salary	33,00,000.00	30,24,000.00	63,24,000.00
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
	(b) Value of perquisites u/ s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	NIL	NIL	NIL
3	Sweat Equity	NIL	NIL	NIL
4	Commission - as % of profit - others, specify...	NIL	NIL	NIL
5	Others, please specify	NIL	NIL	NIL
	Total (A)	33,00,000.00	30,24,000.00	63,24,000.00
	Ceiling as per the Act	-	-	11% of Net Profit (Exclusive of sitting fees) u/s 198

B. Remuneration to other directors

Sl. No.	Particulars of Remuneration	Name of Directors				Total Amount
	1. Independent Directors	Pawan Kr Poddar	Binod Kumar Jeloka	Mukesh Banka	-	NIL
	Fee for attending board committee meetings	-	-	-	-	-
	Commission	-	-	-	-	-
	Others, please specify (Sitting Fees)	10,000.00	10,000.00	10,000.00	-	30,000.00
	Total (1)	10,000.00	10,000.00	10,000.00	-	30,000.00
	2. Other Non-Executive Directors	NIL	NIL	NIL	Shuchi Rajgarhia	NIL
	Fee for attending board committee meetings	-	-	-	-	-
	Commission	-	-	-	-	-
	Others (Remuneration) please specify	NIL	NIL	NIL	2,64,000.00	2,64,000.00
	Total (2)	NIL	NIL	NIL	NIL	NIL
	Total (B)=(1+2)	10,000.00	10,000.00	10,000.00	2,64,000.00	2,94,000.00
	Total Managerial Remuneration	-	-	-	-	66,18,000.00
	Overall Ceiling as per the Act	-	-	-	-	11% of Net Profit (Exclusive of sitting fees) u/ s 198

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/ MANAGER/ WTD

Sl.No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	Total
1	Gross salary	NIL	NIL	NIL	NIL
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-	-
	(b) Value of perquisites u/ s 17(2) Income-tax Act, 1961	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-	-
2	Stock Option	NIL	NIL	NIL	NIL
3	Sweat Equity	NIL	NIL	NIL	NIL
4	Commission	NIL	NIL	NIL	NIL
	- as % of profit	-	-	-	-
	others, specify...	-	-	-	-
5	Others, please specify	NIL	NIL	NIL	NIL
	Total	NIL	NIL	NIL	NIL

VII. PENALTIES/ PUNISHMENT/ COMPOUNDING OF OFFENCES:

There were no penalties/ punishment/ compounding of offences during the year ended 31-03-2019.

For and on behalf of the Board of Directors


(NAWAL KISHORE RAJGARHIA)
 Managing Director
 DIN: 00439689


(NILESH RAJGARHIA)
 Whole-Time Director
 DIN: 00439715

Place: Kolkata

Date: 30th May, 2019